

General information about company	
Scrip code	517500
NSE Symbol	
MSEI Symbol	
ISIN	INE535D01029
Name of the entity	ROTO PUMPS LIMITED
Date of start of financial year	01-04-2018
Date of end of financial year	31-03-2019
Reporting Quarter	Half Yearly
Date of Report	30-09-2018
Risk management committee	Not Applicable

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Is there any change in information of board of directors compare to previous quarter

Yes

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Harish Chandra Gupta	AARPG6840M	00334405	Executive Director	Chairperson	MD	31-07-1975			1	0	0		
2	Mr	Anurag Gupta	ABZPG1273F	00334160	Executive Director	Not Applicable		29-10-1999			1	1	0		
3	Mr	Arvind Veer Gupta	ACDPG9595K	00334233	Executive Director	Not Applicable		16-01-2001			1	1	0		
4	Mr	B S Ramaswamy	AAGPB5857N	00334631	Non-Executive - Independent Director	Not Applicable		29-09-2014		48	1	1	0		

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Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
													No of post of		

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mrs	Asha Gupta	ACYPG1681M	00334345	Non-Executive - Non Independent Director	Not Applicable		29-09-2007			1	1	0		
6	Mr	Ramesh Chandra Vaish	ABIPV3776H	01068196	Non-Executive - Independent Director	Not Applicable		29-09-2014		48	2	0	3		
7	Mr	Anand Bordia	AAMPA7974R	00679165	Non-Executive - Independent Director	Not Applicable		29-09-2014		48	2	2	0		
8	Mrs	Annapurna Dixit	ACJPD6077H	06844250	Non-Executive - Independent Director	Not Applicable		29-09-2014		48	2	0	0		

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Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
9	Mr	Basant Seth	AEOPS3312P	02798529	Non-Executive - Independent Director	Not Applicable		12-05-2017		16	3	3	0		

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Is there any change in information of committees compare to previous quarter Yes

Audit Committee Details					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	01068196	Dr. Ramesh Chandra Vaish	Non-Executive - Independent Director	Chairperson	
2	00334631	Mr. B.S.Ramaswamy	Non-Executive - Independent Director	Member	
3	00679165	Mr. Anand Bordia	Non-Executive - Independent Director	Member	
4	00334345	Mrs. Asha Gupta	Non-Executive - Non Independent Director	Member	
5	02798529	Mr. Basant Seth	Non-Executive - Independent Director	Member	

Nomination and remuneration committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	00334631	Mr. B.S.Ramaswamy	Non-Executive - Independent Director	Chairperson	
2	00334405	Mr. Harish Chandra Gupta	Executive Director	Member	
3	00679165	Mr. Anand Bordia	Non-Executive - Independent Director	Member	
4	02798529	Mr. Basant Seth	Non-Executive - Independent Director	Member	

Stakeholders Relationship Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	01068196	Dr. Ramesh Chandra Vaish	Non-Executive - Independent Director	Chairperson	
2	00334160	Mr. Anurag Gupta	Executive Director	Member	
3	00334233	Mr. Arvind Veer Gupta	Executive Director	Member	

Risk Management Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

Corporate Social Responsibility Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	06844250	Mrs. Annapurna Dixit	Non-Executive - Independent Director	Chairperson	
2	00334160	Mr. Anurag Gupta	Executive Director	Member	
3	00334233	Mr. Arvind Veer Gupta	Executive Director	Member	

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	00334405	Mr. Harish Chandra Gupta	Share Transfer and Transmission Committee	Executive Director	Chairperson	
2	00334160	Mr. Anurag Gupta	Share Transfer and Transmission Committee	Executive Director	Member	
3	00334233	Mr. Arvind Veer Gupta	Share Transfer and Transmission Committee	Executive Director	Member	

Annexure 1	
Annexure 1	
III. Meeting of Board of Directors	
Disclosure of notes on meeting of board of directors explanatory	

Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	30-05-2018		
2		14-08-2018	75

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory							
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee	14-08-2018	Yes	5	30-05-2018	75	

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	ASHWANI K VERMA
2	Designation	Company Secretary

Text Block

Textual Information(1)	The report for the previous quarter has been ended 30th June, 2018 has been placed before the Board at its meeting held on 14.08.2018. This report will be placed before the Board at its next meeting.
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Annexure III**Annexure III to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year****I. Affirmations**

Sr	Broad heading	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No	Mr. B.S. Ramaswamy, Chairman of the Nomination and Remuneration Committee could not attend the meeting due to his pre-occupation. However, Mr. Harish Chandra Gupta and Mr. Basant Seth, members of the Nomination and Remuneration Committee were present at the Annual General meeting to address the queries of the shareholders.
4	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Annexure III

1	Name of signatory	ASHWANI K VERMA
2	Designation	Company Secretary

Signatory Details

Name of signatory	ASHWANI K VERMA
Designation of person	Company Secretary
Place	NOIDA
Date	15-10-2018

