

### General information about company

Scrip code	517500
Name of the company	ROTO PUMPS LIMITED
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended	31-12-2015
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)
									No of Voting (XIV) Rights			
									Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	15	10770620			10770620	69.7					
(B)	Public	3711	4683185			4683185	30.3					
(C)	Non Promoter- Non Public											
(C1)	Shares underlying DRs											
(C2)	Shares held by Employee Trusts											
	<b>Total</b>	<b>3726</b>	<b>15453805</b>			<b>15453805</b>						

**Table I - Summary Statement holding of specified securities**

							Number of	Number of	
							Shares	Shares	

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Locked in shares (XII)		pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				69.7					10770620
(B)	Public				30.3					3778585
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total									14549205

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	15	10770620			10770620	69.7				
	Sub-Total (A)(1)	15	10770620			10770620	69.7				
(2)	Foreign										
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	15	10770620			10770620	69.7				
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	6500			6500	0.04				
(f)	Financial Institutions/ Banks	1	500			500	0				
(i)	Any Other (specify)	1	296204			296204	1.92				
	Sub-Total (B)(1)	4	303204			303204	1.96				

( 3 )	Non-institutions									
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	3524	3055226			3055226	19.77			
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	315015			315015	2.04			
(e)	Any Other (specify)	181	1009740			1009740	6.53			
Sub-Total (B)(3)		3707	4379981			4379981	28.34			
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		3711	4683185			4683185	30.3			
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
Total ( A+B+C2 )		3726	15453805			15453805	100			
Total (A+B+C )		3726	15453805			15453805				

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				69.7					10770620
Sub-Total (A)(1)				69.7					10770620
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				69.7					10770620
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.04					0
(f)				0					0
(i)				1.92					296204
Sub-Total (B)(1)				1.96					296204
( 3 )	Non-institutions								
(a(i))				19.77					2588626

(a(ii))				2.04				315015
(e)				6.53				578740
Sub-Total (B)(3)				28.34				3482381
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				30.3				3778585
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder							
Total ( A+B+C2 )				100				14549205
Total (A+B+C )								14549205

Individuals/Hindu undivided Family							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	ASHA GUPTA	H C GUPTA	N K GUPTA HUF	ARVIND VEER GUPTA	ANURAG GUPTA	H C GUPTA HUF	NEERA GUPTA
PAN (II)	ACYPG1681M	AARPG6840M	AADHN1409H	ACDPG9595K	ABZPG1273F	AAAHH7353D	ABZPG1274C
No. of fully paid up equity shares held (IV)	2499665	1973695	1488660	1210335	1085335	1071100	848575
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	2499665	1973695	1488660	1210335	1085335	1071100	848575
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	16.18	12.77	9.63	7.83	7.02	6.93	5.49
Number of Voting Rights held in each class of securities (IX)							
Class eg:X							
Class eg:y							
Total							
Total as a % of Total Voting rights							
No. Of Shares Underlying Outstanding convertible securities (X)							
No. Of Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	16.18	12.77	9.63	7.83	7.02	6.93	5.49
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2499665	1973695	1488660	1210335	1085335	1071100	848575

Individuals/Hindu undivided Family							
Searial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	MANSI KANORIA	RAJEETA GUPTA	ROLLY GUPTA	MADHU AGARWAL	SHALINI GUPTA	MANOJ AGARWAL	RAJINDER KUMAR GUPTA
PAN (II)	ABAPK7168G	AGEPG4044L	AGEPG4052E	ADAPA9111D	AALPG2660F	ADMPA1064B	AGOPG7815M
No. of fully paid up equity shares held (IV)	158700	135155	133830	103600	30835	19325	10810
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	158700	135155	133830	103600	30835	19325	10810
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	0.87	0.87	0.67	0.2	0.13	0.07
Number of Voting Rights held in each class of securities (IX)							
Class eg:X							
Class eg:y							
Total							
Total as a % of Total Voting rights							
No. Of Shares							

Underlying Outstanding convertible securities (X)							
No. Of Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	1.03	0.87	0.87	0.67	0.2	0.13	0.07
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	158700	135155	133830	103600	30835	19325	10810

Individuals/Hindu undivided Family		
Searial No.	15	
Name of the Shareholders (I)	URMILA AGARWAL	
PAN (II)	AAVPA5652M	Total
No. of fully paid up equity shares held (IV)	1000	10770620
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1000	10770620
Shareholding as a % of total no. of shares (calculated as per SCRR,	0.01	69.7

1957) (VIII) As a % of (A+B+C2)		
Number of Voting Rights held in each class of securities (IX)		
Class eg:X		
Class eg:y		
Total		
Total as a % of Total Voting rights		
No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.01	69.7
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1000	10770620

Any Other (specify)		
Searial No.	1	
Category	Other	
Name of the Shareholders (I)	PASSAGE TO INDIA MASTER FUND LIMITED	
PAN (II)	AAECP2986K	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares	296204	296204

held (IV)		
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	296204	296204
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.92	1.92
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X		
Class eg:y		
Total		
Total as a % of Total Voting rights		
No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.92	1.92
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	296204	296204

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Serial No.	1	
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Name of the Shareholders (I)	AMIT GUPTA	
PAN (II)	AEAPG0275M	Total
No. of fully paid up equity shares held (IV)	215010	215010
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	215010	215010
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.39	1.39
Number of Voting Rights held in each class of securities (IX)		
Class eg: X		
Class eg:y		
Total		
Total as a % of Total Voting rights		
No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.39	1.39
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	215010	215010

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Clearing Members	Trusts	NRI	
Name of the Shareholders (I)	Bodies Corporate	Clearing Members	Trust	Nonresident	
PAN (II)	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	Total
No. of the Shareholders (I)	110	38	1	32	181
No. of fully paid up equity shares held (IV)	547145	46945	500	415150	1009740
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	547145	46945	500	415150	1009740
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.54	0.3	0	2.69	6.53
Number of Voting Rights held in each class of securities (IX)					
Class eg: X					
Class eg:y					
Total					
Total as a % of Total Voting rights					
No. Of Shares Underlying Outstanding convertible securities (X)					
No. Of Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a %	3.54	0.3	0	2.69	6.53

of (A+B+C2)					
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	499145	46945	0	32650	578740