

General information about company

Scrip code	517500
Name of the company	ROTO PUMPS LIMITED
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Date of Report (For Prelisting / Allotment)	31-03-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	15	10770620			10770620	69.7	10770620		10770620	69.7
(B)	Public	3819	4683185			4683185	30.3	4683185		4683185	30.3
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	3834	15453805			15453805		15453805		15453805	100

Table I - Summary Statement holding of specified securities

	No. Of	No. Of Shares	Shareholding , as a %	Number of Locked in	Number of Shares pledged or otherwise
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Category (I)	Category of shareholder (II)	Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	shares (XII)		encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				69.7					10770620
(B)	Public				30.3					3735085
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total									14505705

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	15	10770620			10770620	69.7	10770620		10770620	69.7
	Sub-Total (A)(1)	15	10770620			10770620	69.7	10770620		10770620	69.7
(2)	Foreign										
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	15	10770620			10770620	69.7	10770620		10770620	69.7
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	6500			6500	0.04	6500		6500	0.04
(f)	Financial Institutions/ Banks	1	500			500	0	500		500	0
(i)	Any Other (specify)	1	306204			306204	1.98	306204		306204	1.98
	Sub-Total (B)(1)	4	313204			313204	2.03	313204		313204	2.03
(3)	Non-institutions										

(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	3630	3051655			3051655	19.75	3051655		3051655	19.75
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	315055			315055	2.04	315055		315055	2.04
(e)	Any Other (specify)	183	1003271			1003271	6.49	1003271		1003271	6.49
Sub-Total (B)(3)		3815	4369981			4369981	28.28	4369981		4369981	28.28
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		3819	4683185			4683185	30.3	4683185		4683185	30.3
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		3834	15453805			15453805	100	15453805		15453805	100
Total (A+B+C)		3834	15453805			15453805		15453805		15453805	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
(1)	Indian									
(a)				69.7						10770620
Sub-Total (A)(1)				69.7						10770620
(2)	Foreign									
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				69.7						10770620
B	Table III - Statement showing shareholding pattern of the Public shareholder									
(1)	Institutions									
(a)				0.04						0
(f)				0						0
(i)				1.98						306204
Sub-Total (B)(1)				2.03						306204
(3)	Non-institutions									
(a(i))				19.75						2541555
(a(ii))				2.04						315055

(e)				6.49				572271
Sub-Total (B)(3)				28.28				3428881
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				30.3				3735085
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder							
Total (A+B+C2)				100				14505705
Total (A+B+C)								14505705

Individuals/Hindu undivided Family							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	ASHA GUPTA	H C GUPTA	N K GUPTA HUF	ARVIND VEER GUPTA	ANURAG GUPTA	H C GUPTA HUF	NEERA GUPTA
PAN (II)	ACYPG1681M	AARPG6840M	AADHN1409H	ACDPG9595K	ABZPG1273F	AAAHH7353D	ABZPG1274C
No. of fully paid up equity shares held (IV)	2499665	1973695	1488660	1210335	1085335	1071100	848575
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	2499665	1973695	1488660	1210335	1085335	1071100	848575
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	16.18	12.77	9.63	7.83	7.02	6.93	5.49
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	2499665	1973695	1488660	1210335	1085335	1071100	848575
Class eg:y							
Total	2499665	1973695	1488660	1210335	1085335	1071100	848575
Total as a % of Total Voting rights	16.18	12.77	9.63	7.83	7.02	6.93	5.49
No. Of Shares Underlying Outstanding convertible securities (X)							
No. Of Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a							

% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	16.18	12.77	9.63	7.83	7.02	6.93	5.49
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2499665	1973695	1488660	1210335	1085335	1071100	848575

Individuals/Hindu undivided Family							
Searial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	MANSI KANORIA	RAJEETA GUPTA	ROLLY GUPTA	MADHU AGARWAL	SHALINI GUPTA	MANOJ AGARWAL	RAJINDER KUMAR GUPTA
PAN (II)	ABAPK7168G	AGEPG4044L	AGEPG4052E	ADAPA9111D	AALPG2660F	ADMPA1064B	AGOPG7815M
No. of fully paid up equity shares held (IV)	158700	135155	133830	103600	30835	19325	10810
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	158700	135155	133830	103600	30835	19325	10810
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	0.87	0.87	0.67	0.2	0.13	0.07
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	158700	135155	133830	103600	30835	19325	10810
Class eg:y							
Total	158700	135155	133830	103600	30835	19325	10810
Total as a % of Total Voting rights	1.03	0.87	0.87	0.67	0.2	0.13	0.07
No. Of Shares Underlying Outstanding							

convertible securities (X)							
No. Of Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	1.03	0.87	0.87	0.67	0.2	0.13	0.07
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	158700	135155	133830	103600	30835	19325	10810

Individuals/Hindu undivided Family		
Searial No.	15	
Name of the Shareholders (I)	URMILA AGARWAL	Click here to go back
PAN (II)	AAVPA5652M	Total
No. of fully paid up equity shares held (IV)	1000	10770620
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1000	10770620
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	69.7

Number of Voting Rights held in each class of securities (IX)		
Class eg:X	1000	10770620
Class eg:y		
Total	1000	10770620
Total as a % of Total Voting rights	0.01	69.7
No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.01	69.7
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1000	10770620

Any Other (specify)		
Searial No.	1	
Category	Other	
Category / More than 1 percentage	More than 1 percentage of shareholding	Click here to go back
Name of the Shareholders (I)	PASSAGE TO INDIA MASTER FUND LIMITED	
PAN (II)	AAECP2986K	Total
No. of the Shareholders (I)		
No. of fully paid		

up equity shares held (IV)	306204	306204
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	306204	306204
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.98	1.98
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	306204	306204
Class eg:y		
Total	306204	306204
Total as a % of Total Voting rights	1.98	1.98
No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.98	1.98
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	306204	306204

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	1	
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Name of the Shareholders (I)	AMIT GUPTA	Click here to go back
PAN (II)	AEAPG0275M	Total
No. of fully paid up equity shares held (IV)	215050	215050
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	215050	215050
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.39	1.39
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	215050	215050
Class eg:y		
Total	215050	215050
Total as a % of Total Voting rights	1.39	1.39
No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.39	1.39
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized	215050	215050

form (XIV)						
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Any Other (specify)						
Searial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Clearing Members	Trusts	Foreign Individuals or NRI	Foreign Individuals or NRI
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)		QUANT CAPITAL SECURITIES PRIVATE LIMITED				DEBRA PAULY
PAN (II)		AAACQ3159M				ZZZZZ9999Z
No. of the Shareholders (I)	112		37	1	33	
No. of fully paid up equity shares held (IV)	315910	198000	76306	500	30555	382000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	315910	198000	76306	500	30555	382000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.04	1.28	0.49	0	0.2	2.47
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	315910	198000	76306	500	30555	382000
Class eg:y						
Total	315910	198000	76306	500	30555	382000
Total as a % of Total Voting rights	2.04	1.28	0.49	0	0.2	2.47
No. Of Shares Underlying Outstanding convertible securities (X)						
No. Of Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of						

convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.04	1.28	0.49	0	0.2	2.47
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	267910	198000	76306	0	30055	0

Any Other (specify)	
Searial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	183
No. of fully paid up equity shares held (IV)	1003271
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1003271
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.49
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	1003271
Class eg:y	
Total	1003271
Total as a % of Total Voting rights	6.49
No. Of Shares Underlying Outstanding convertible securities (X)	

No. Of Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.49
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	572271