

## General Information

Name of the Company : ROTO PUMPS LTD	
Scrip Code, class of security : 517500, Equity shares	
Quarter ended : 30-9-2015	
General information [Abstract]	
Scrip code	517500
Name of the company	ROTO PUMPS LTD
Class of security	Equity shares
Type of report	Quarterly
Reason for additional filling	
Whether company has more than one class of shares or securities having voting rights	No
Whether company is SME	No
Date of report	30-9-2015

## Disclosure of shareholding pattern

Name of the Company : ROTO PUMPS LTD				
Scrip Code, class of security : 517500, Equity shares				
Quarter ended : 30-9-2015				
Disclosure of notes on shareholding pattern				Notes
Partly paid-up shares				
ID	Description partly paid-up shares	Number of partly paid-up shares	As a percentage of total number of partly paid-up shares	As a percentage of total number of shares of the company
Shares held by promoter or promoter group				
Total Shares held by promoter or promoter group				
Shares held by public				
Total Shares held by public				
Total				
Outstanding convertible securities				
ID	Description of outstanding convertible securities	Number of outstanding securities	As a percentage of total number of outstanding convertible securities	As a percentage of total number of shares of the company, assuming full conversion of the convertible securities
Shares held by promoter or promoter group				
Total shares held by promoter or promoter group				
Shares held by public				
Total Shares held by public				
Total				
Warrants				
ID	Description of warrants	Number of warrants	As a percentage of total number of warrants	As a percentage of total number of shares of the company, assuming full conversion of warrants
Shares held by promoter or promoter group				
Total Shares held by promoter or promoter group				
Shares held by public				

Total Shares held by public			
Total			
Total paid-up capital of the company assuming full conversion of warrants and convertible securities	15453805		

### Disclosure of fully paid-up shares

Name of the Company : ROTO PUMPS LTD								
Scrip Code, class of security : 517500, Equity shares								
Quarter ended : 30-9-2015								
Disclosure of notes on fully paid-up shares								Notes
Category code					Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
		Number of shareholders	Total number of shares	Number of shares held in dematerialised form	As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals or Hindu undivided family	15	10770620	10770620	69.7	69.7		
(b)	Central government or State government(s)							
(c)	Bodies corporate							
(d)	Financial institutions or banks							
(e)	Any other (specify)	Description of Shareholder						
Total Others								
Sub Total (A) (1)		15	10770620	10770620	69.7	69.7		
(2)	Foreign							
(a)	Non-resident individuals or foreign individuals							
(b)	Bodies corporate							
(c)	Institutions							
(d)	Qualified foreign investor							
(e)	Any other (specify)	Description of Shareholder						
Total Others								
Sub-Total (A) (2)								
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		15	10770620	10770620	69.7	69.7		
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual funds or UTI	2	6500	0	0.04	0.04		
(b)	Financial institutions or banks	1	500	0	0	0		

(c)	Central government or State government(s)								
(d)	Venture capital funds								
(e)	Insurance companies								
(f)	Foreign institutional investors	1	296204	296204	1.92	1.92			
(g)	Foreign venture capital investors								
(h)	Qualified foreign investor								
(k)	Any other (specify)	Description of Shareholder							
Total Others									
Sub Total (B) (1)		4	303204	296204	1.96	1.96			
(2)	Non-Institutions								
(a)	Bodies corporate	111	509450	461450	3.3	3.3			
(b)	Individuals								
i	Individual shareholders holding nominal share capital up to Rs one lakh	3457	2729204	2255104	17.66	17.66			
ii	Individual shareholders holding nominal share capital in excess of Rs one lakh	5	654436	654436	4.23	4.23			
(c)	Qualified foreign investor								
(d)	Any other (specify)	Description of Shareholder							
1	Non-Institutions Shareholders 1	Clearing Members	32	76447	76447	0.49	0.49		
2	Non-Institutions Shareholders 2	NRI	31	409944	27444	2.65	2.65		
3	Non-Institutions Shareholders 3	Trusts	1	500	0	0	0		
Total Others		64	486891	103891	3.15	3.15			
Sub-total (B) (2)		3637	4379981	3474881	28.34	28.34			
Total Public Shareholding (B) = (B)(1)+(B)(2)		3641	4683185	3771085	30.3	30.3			
TOTAL (A)+(B)		3656	15453805	14541705	100	100			
(C)	Shares held by custodians and against which depository receipts have been issued								
(1)	Promoter and promoter group								
(2)	Public								
Total Shares held by Custodians (C)									
GRAND TOTAL (A)+(B)+(C)		3656	15453805	14541705		100			

**(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category Promoter and Promoter Group**

Name of the Company : ROTO PUMPS LTD

Scrip Code, class of security : 517500, Equity shares

Quarter ended : 30-9-2015

Disclosure of notes on securities belonging to the category "Promoter and Promoter Group"

Notes

Sr. No.	Name of the shareholder	PAN of Promoter	Number of the shares held	As a percentage of grand total (A) + (B) + (C)	Encumbered - number of shares	As a percentage	As a percentage of grand total (A) + (B) + (C) of clause (I)(a)	Number of warrants held	Warrants held as a percentage of total numbers of warrants of the same class	Number of convertible securities held	Convertible securities as percentage of total numbers of convertible securities of same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a percentage of diluted share capital
(I)	(II)	(II) (a)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(I)(a) (VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	N K GUPTA HUF	AADHN1409H	1488660	9.63								9.63
2	ANURAG GUPTA	ABZPG1273F	1085335	7.02								7.02
3	ARVIND VEER GUPTA	ACDPG9595K	1210335	7.83								7.83
4	ASHA GUPTA	ACYPG1681M	2499665	16.18								16.18
5	H C GUPTA	AARPG6840M	1973695	12.77								12.77
6	H C GUPTA HUF	AAAHH7353D	1071100	6.93								6.93
7	MANOJ AGARWAL	ADMPA1064B	19325	0.13								0.13
8	MANSI KANORIA	ABAPK7168G	158700	1.03								1.03
9	MADHU AGARWAL	ADAPA9111D	103600	0.67								0.67
10	NEERA GUPTA	ABZPG1274C	848575	5.49								5.49
11	RAJEETA GUPTA	AGEPG4044L	135155	0.87								0.87
12	RAJINDER KUMAR GUPTA	AGOPG7815M	10810	0.07								0.07
13	ROLLY GUPTA	AGEPG4052E	133830	0.87								0.87
14	SHALINI GUPTA	AALPG2660F	30835	0.2								0.2
15	URMILA AGARWAL	AAVPA5652M	1000	0.01								0.01
<b>Total</b>			10770620	69.7								69.7

(I) (c) (i) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category Public and holding more than 1% of the total number of shares

Name of the Company : ROTO PUMPS LTD									
Scrip Code, class of security : 517500, Equity shares									
Quarter ended : 30-9-2015									
Disclosure of notes on holding of securities of persons belonging to the category "Public" and holding more than one percentage of the total number of shares									Notes
Sr. No.									
	Name of the shareholder	PAN of Shareholder	Number of the shares held	Shares as percentage of total number of shares {i.e, Grand Total (A) + (B) + (C) indicated in statement at para (I) (a) above}	Number of warrants held	Warrants held as a percentage of total numbers of warrants of the same class	Number of convertible securities held	Convertible securities as percentage of total numbers of convertible securities of same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a percentage of diluted share capital
(I)	(II)	(II) (a)	(III)	(IV)	(V)	(VI)	(I)(a) (VII)	(VIII)	(IX)
1	DEBRA PAULY	DEB0006775	382000	2.47					2.47
2	PASSAGE TO INDIA MASTER FUND LTD	AAECP2986K	296204	1.92					1.92
3	AMIT GUPTA	AEAPG0275M	214368	1.39					1.39
4	QUANT CAPITAL SECURITIES PVT LTD	AAACQ3159M	198000	1.28					1.28
<b>Total</b>			1090572	7.06					7.06